

FORM PTO-1082

Mail Stop Patent Application
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Case Docket No. TUC920030107US1
February 25, 2004
Express Mail Label No. EV326952568US
0022.0053

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): John Jay Wolfgang, Philip Matthew Doatmas, Kenneth Fairclough Day III,
and Kenneth Wayne Boyd

For: **METHOD, SYSTEM, AND PROGRAM FOR A SYSTEM ARCHITECTURE FOR AN ARBITRARY
NUMBER OF BACKUP COMPONENTS**

Enclosed are:

- ☒ 12 Sheet(s) of drawings; 34 pages of Application; 24 pages of specification; 1 page of abstract.
- ☒ Declaration and Power of Attorney (___ unsigned).
- ☒ An assignment of the invention to International Business Machines Corporation.
- ☐ An associate power of attorney.
- ☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
- ☒ IDS; PTO-1449 and 2 references.
- ☒ Formal Drawings; 12 sheets.
- ☒ Return Postcard.

CALCULATION OF FEES							
ITEM		NO. OF CLAIMS FILED MINUS BASE*		NO. OF CLAIMS OVER BASE	X FEE	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	41	- 20* =	21	X \$18	\$378	
B	INDEPENDENT CLAIMS FEE**	9	- 3* =	6	X \$86	\$516	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						\$894
D	MULTIPLE-DEPENDENT CLAIMS FEE						

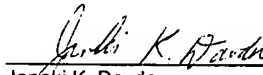
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- ☐ A check in the amount of \$___ to cover the filing fee is enclosed.
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 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for
J.J. Wolfgang, P.M. Doatmas, K.F. Day III
and K.W. Boyd

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Serial No.: Not Yet Assigned

Filed: February 25, 2004

For: **METHOD, SYSTEM, AND
PROGRAM FOR A SYSTEM
ARCHITECTURE FOR AN ARBITRARY
NUMBER OF BACKUP COMPONENTS**

CERTIFICATE OF MAILING

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

"Express Mail" Label No. EV326952568US


I hereby certify that patent application papers, including specification, claims and 12 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

February 25, 2004

(Date of Deposit)

Patricia McLaughlin

(Name of person mailing paper or fee)



(Signature)

TRANSMITTAL FORM	Application Number	Not Yet Assigned
<i>(To be used for all correspondence after initial filing)</i>	Filing Date	February 25, 2004
	Inventor	J.J. Wolfgang et al.
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Number of Pages in this Submission: 12	Attorney Docket Number	TUC920030107US1


ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits /Declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; ___ references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an application) <input checked="" type="checkbox"/> Formal Drawings: <u>12</u> sheets <input type="checkbox"/> Licensing-related papers <input type="checkbox"/> Petition: _____ <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, and/or Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ___	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Certificate of Correction <input type="checkbox"/> Fee Address Indication Form <input type="checkbox"/> Other Enclosure(s) <i>(please identify below)</i>
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name:	Janaki K. Davda, Registration No. 40,684
Signature:	<i>Janaki K. Davda</i>
Date:	February 25, 2004
KONRAD RAYNES & VICTOR, LLP 315 South Beverly Drive, Suite 210 Beverly Hills, California 90212 (310) 556-7983	
<input checked="" type="checkbox"/> The Commissioner is authorized to charge any deficiency of fees, or credit any overpayment, to Deposit Account No. 09-0449	

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Typed or Printed name:	Janaki K. Davda	 24033 PATENT TRADEMARK OFFICE
Signature:	<i>Janaki K. Davda</i>	
Date:	February 25, 2004	